

STATE OF CALIFORNIA – DEPARTMENT OF FINANCIAL PROTECTION AND INNOVATION  
**UNIFORM FRANCHISE REGISTRATION APPLICATION FOR MATERIAL  
MODIFICATION TO EXISTING FRANCHISES**

DFPI-310.125 (Rev. 11-20)



UNIFORM FRANCHISE REGISTRATION APPLICATION

(Insert file number of previous filings  
of Applicant) \_\_\_\_\_

FEE: \_\_\_\_\_  
(Enclosed when application is initially  
filed)

APPLICATION FOR (Check only one):

REGISTRATION OF AN OFFER AND SALE OF FRANCHISES

REGISTRATION RENEWAL STATEMENT OR ANNUAL REPORT

AMENDMENT NUMBER \_\_\_\_\_ TO APPLICATION

POST –EFFECTIVE FILED UNDER SECTION \_\_\_\_\_

PRE-EFFECTIVE DATED \_\_\_\_\_

MATERIAL MODIFICATION TO EXISTING FRANCHISES

1. Name of Franchisor. (If applicant is subfranchisor, the name of the subfranchisor.)

\_\_\_\_\_  
Name under which the Franchisor is doing or intends to do business  
\_\_\_\_\_

2. Franchisor's principal business address.

\_\_\_\_\_  
Name and address of Franchisor's agent in the State of (Name of State) authorized to receive  
process.  
\_\_\_\_\_

3. Name, address and telephone number of subfranchisors, if any, for this state.

\_\_\_\_\_

4. Name, address and telephone number of person to whom communications regarding this  
application should be directed.

\_\_\_\_\_

**UNIFORM FRANCHISE REGISTRATION APPLICATION FOR MATERIAL MODIFICATION TO EXISTING FRANCHISES**

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**Application for Registration of a Material Modification of Existing Franchises**

An application for registration of a material modification of franchises pursuant to Section 31125 of the Code shall, in addition to the facing page of the Uniform Franchise Registration Application, as defined in Section 310.111(b), continue on the following form:

EXHIBIT 1

Attach a copy of the item(s) of the Uniform Franchise Offering Circular, complying with Section 310.114.1 of these rules if the information is changed. State the existing arrangement and the new arrangement with respect to the item(s). The cover of the Offering Circular shall state: "The changes set forth herein are voluntary. If a franchisee does not receive the attached disclosure at least five business days prior to the execution of a binding agreement, the franchisee may, by written notice, mailed or delivered to the franchisor or (specify agent of the franchisor), within five business days following the execution of the agreement rescind the agreement to the modifications."

Describe the proposed modifications, including:

- (a) An identification of the section of the franchise contract or document being modified.
- (b) The nature of the modification.
- (c) Whether additional cost will accrue to the franchisee and, if so, an estimate of the additional cost.
- (d) State the effect on a franchisee who accepts the change, and, on a franchisee, who does not accept the change.

EXHIBIT 2

Attach a copy of the franchise contract or document which embodies the modification(s).

EXHIBIT 3

State the number of franchises presently operating, the number of such franchises presently operating in California and the number of franchises proposed to be modified and the number of franchises proposed to be modified in California.

EXHIBIT 4

Attach the Consent to Service of Process if required by Section 31155 of the California Corporations Code, if one has not previously been filed.

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EXHIBIT 5

The applicant has duly caused this application to be signed on its behalf by the undersigned, thereunto duly authorized.

\_\_\_\_\_  
Applicant

\_\_\_\_\_  
By (Print Name)

\_\_\_\_\_  
Title

I certify or declare under penalty of perjury that I have read this application and the exhibits thereto and know the contents thereof, and that the statements therein are true and correct.

Executed at \_\_\_\_\_, California, on the date of \_\_\_\_\_, \_\_\_\_\_

\_\_\_\_\_  
Signature

If executed at any place within or without this state: I certify (or declare) under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed at \_\_\_\_\_, on the date of \_\_\_\_\_, \_\_\_\_\_

\_\_\_\_\_  
Signature