

STATE OF CALIFORNIA – DEPARTMENT OF FINANCIAL PROTECTION AND INNOVATION
**NOTICE OF OFFICERS, DIRECTORS, PARTNERS, "CONTROL" PERSONS,
MANAGERS, MEMBERS, TRUSTEES AND EMPLOYEES OF A RESIDENTIAL
MORTGAGE LENDER, RESIDENTIAL MORTGAGE LENDER AND SERVICER
OR RESIDENTIAL MORTGAGE LOAN SERVICER.**



DFPI-MBL 1950.122.2 (Rev. 10-20)

Fee: \$20.00 + DOJ Noncriminal Applicant Fingerprint Fee
(Fees should include the Department of Financial Protection and Innovation's filing fee of [\$20.00] and the fee charged by the Department of Justice [Penal Code Section 11105] for processing Noncriminal Applicant Fingerprints.) Receipt No. _____

STATE OF CALIFORNIA
DEPARTMENT OF FINANCIAL PROTECTION AND INNOVATION
320 West 4th Street, Suite 750
Los Angeles, CA 90013-1105
(213) 576-7690

Special Instruction: Read
Financial Code Section 50317
before completing this notice.

OFFICIAL USE ONLY
LAST NAME _____
Date submitted CII: _____
Applicant I.D.# _____

PLEASE PRINT OR TYPE

(Name of Company) File No. _____

ADDRESS OF MAIN OFFICE:

(Street) (City) (State) (Zip Code)

EMPLOYING BRANCH OFFICE: _____

NAME OF OFFICER, DIRECTOR, PARTNER, "CONTROL" PERSON [Financial Code Section 50003(e)], MANAGER, MEMBER, TRUSTEE AND EMPLOYEE:

(Last) (First) (Middle)

POSITION HELD OR TO BE HELD:

HOME ADDRESS:

(Street) (City) (State) (Zip Code)

DATE OF BIRTH: _____ **PLACE OF BIRTH:** _____
(Month) (Day) (Year)

DRIVER'S LICENSE NUMBER: _____

HEIGHT: _____ **WEIGHT:** _____ **SEX:** _____ **COLOR OF EYES:** _____
COLOR OF HAIR: _____

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DFPI’s Privacy Notice on Collection

DFPI Collects and Uses Personal Information: The DFPI collects the information requested on this form as authorized by Financial Code sections 50121, 50126(a)(2)(3), 50301(g) and 50317(c). The DFPI uses this information to investigate the background information of individuals and determine eligibility for licensing under the California Residential Mortgage Lending Act. Use of the personal information DFPI collects is subject to limitations contained in the Information Practices Act of 1977 and other applicable state and federal laws.

Providing Personal Information Is Mandatory: When providing information or documents, please do not include unrequested personal information such as a Social Security number, driver’s license number, or financial information.

DFPI May Disclose Your Personal Information: We may share your personal information with other state, federal or law enforcement agencies. Your personal information may also be disclosed:

- In response to a Public Records Act request, as allowed by the Information Practices Act.
- To another governmental agency as required by state or federal law.
- In response to a court order or administrative order, a subpoena or a search warrant.

Your Access to Your Personal Information: You may review the records maintained by DFPI that contain your personal information. To request access, contact: DFPI Privacy Officer, 2101 Arena Blvd, Sacramento, CA 95834, (866) 275-2677.