

STATE OF CALIFORNIA – DEPARTMENT OF FINANCIAL PROTECTION AND INNOVATION
**APPLICATION FOR APPROVAL FOR WITHDRAWAL AND RELEASE OF
ELIGIBLE ASSETS**
DFPI-93 (Rev. 10-20)



To: **Commissioner of Financial Protection and Innovation** Date: _____

_____ hereby applies for approval for the
(Name of Foreign (Other Nation) Bank)

withdrawal and release from its pledge deposit of the eligible asset(s) listed in Schedule A.

The applicable minimum for this maintenance period is \$ _____.

The total value of the eligible assets (excluding the eligible asset(s) listed in Schedule A) in
the pledge deposit is \$ _____.

(Name of Foreign (Other Nation) Bank)

By _____
(Signature)

(Name and Title)

(Signature)

(Name and Title)

VERIFICATION

Each of the undersigned, for himself or herself alone and not for the other, certifies
under penalty of perjury that the foregoing Application (including [Schedule A](#)) is true and
correct.

Executed on _____ at _____, California.
(Date) (City)

(Signature)

(Signature)

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CERTIFICATION

Each of the undersigned, for himself or herself alone and not for the other, certifies that the information set forth in Schedule A regarding the market value of the eligible asset(s) listed therein and the information set forth in the Application regarding the total value of the eligible assets (excluding the eligible asset(s) listed in Schedule A) in the pledge deposit are true and correct.

Date: _____.

(Signature)

(Signature)

(Name and Title)

(Name and Title)

APPROVAL

Date: _____

Pursuant to Financial Code Section 1811(d)(1), the Commissioner of Financial Protection and Innovation hereby grants approval for the eligible asset(s) listed in Schedule A to be withdrawn and released from the pledge deposit. This approval is subject to the conditions specified in Section 10.16202 of Title 10 of the California Code of Regulations.

Commissioner of Financial Protection and Innovation

By _____
(Signature)

(Name and title)

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SCHEDULE A

Name of Obligor	Complete Title (Including Series)	Interest Rate	Serial Number	Maturity Date	Call Date	Par Value	Market Value

Total Market Value: \$ _____

INSTRUCTIONS

A. General

Pursuant to Section 10.16201 of Title 10 of the California Code of Regulations ("CCR Title 10"), Form 93 and these instructions are to be used in cases where a foreign (other nation) bank (the "applicant") applies for approval for the withdrawal and release of an eligible asset from its pledge deposit. The definitions and general provisions in CCR Title 10 which are applicable to the provisions of CCR Title 10 relating to the deposit by foreign (other nation) bank of eligible assets with an approved depository pursuant to Section 1811(d)(1) of the Financial Code are applicable also to these instructions, and these instructions should be read in conjunction with such definitions and general provisions.

B. Contents

The application and Schedule A shall be completed as indicated in Form 93 and Schedule A.

C. Signature

The application shall be signed in the name of the applicant by two officers of the applicant.

D. Verification

The verification shall be completed and signed by the two officers of the applicant who sign the application.

E. Certification

The certification shall be completed and signed by two officers of the approved depository of the applicant.

F. Copies

The original and three copies of the application (including [Schedule A](#), the verification, and the certification) shall be filed with the Commissioner. If and when the Commissioner approves the application, the Commissioner will retain the original and one copy, will return one copy to the applicant, and will forward one copy to the approved depository.